

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : VIRGIL A.G. WILLIAMS

Serial No. : TBD

Art Unit: N/A

Filed : TBD

Examiner: N/A

For : "TRANSPARENT, VEGETABLE-BASED, SUBSTANTIALLY
HYDROCARBON-FREE CANDLE ARTICLE"

I hereby certify that this correspondence is being deposited with the
United States Postal Service as first class mail in an envelope addressed
to: Assistant Commissioner For Patents, Washington, D.C. 20231 on

December 17, 2001

(Date of Deposit)

JOSEPH F. LEIGHTNER

(Name of applicant, assignee, or Registered Representative)



(Signature)

December 17, 2001

(Date of Signature)

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the

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above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Certification in Accordance with §1.97(e) is set forth below; or
- ☐ Please charge Deposit Account No. 12-1295 the fee of \$240.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:

- ☐ Certification in Accordance with §1.97(e) is set forth below; or
- ☐ Please charge Deposit Account No. 12-1295 the fee of \$240.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Certification in Accordance with §1.97(e) as set forth below and the fee of \$130.00 as set forth in §1.17(i)(1).

☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:
- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed .


☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☐ The relevance of those listed references which are not in the English language is as follows:

☒ There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to
Deposit Account No. 12-1295. This form is submitted in
triplicate.

Respectfully submitted,



JOSEPH F. LEIGHTNER
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Attorney for Applicants

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DATED: DEC 17, 2001

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Docket No.

IFF-26

Serial No.

TBD

Applicant

VIRGIL A.G. WILLIAMS, et al

Filing Date

TBD

Group Art Unit

N/A

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12/17/01

U.S. PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	3,148,125	9/8/64	Caldwell, et al			
	5,171,329	12/15/92	Lin			
	5,679,334	10/21/97	Semoff I, et al			
	5,783,657	7/21/98	Pavlin I, et al			
	5,879,694	3/9/99	Morrison, et al			
	5,998,570	12/7/99	Pavlin II, et al			
	6,063,144	5/16/00	Calzada, et al			
	6,071,506	6/6/00	Semoff II, et al			
	6,086,644	7/11/00	Nakatsu, et al			

FOREIGN PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Country	Class	Sub Class	Translate (select one)	
						Yes	No
	WO 00/73408A1	7/12/00	PCT			<input type="checkbox"/>	<input type="checkbox"/>
	WO 01/53376A1	7/26/01	PCT			<input type="checkbox"/>	<input type="checkbox"/>
	EP 606855A1		Europe			<input type="checkbox"/>	<input type="checkbox"/>
	EP 606856A1		Europe			<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>

OTHER REFERENCES (include author, title, date, pertinent pages, etc.)

		Ecowax™ Website Home Page, Technical Assistance Page, Distributor List Page, Using Ecowaxes Instruction Page and Ecowax Benefits Page

Examiner:

Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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N/A

U.S. PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	6,111,055	8/29/00	Berger I, et al			
	6,214,063	4/10/01	DeStefano, et al			
	6,242,509	6/5/01	Berger II, et al			
	6,255,356	7/3/01	Butler			
	6,258,920	7/10/01	Starnner, et al			
	6,268,466	7/31/01	MacQueen, et al			
	6,294,162	9/25/01	Semoff III, et al			
	6,309,715	10/30/01	Lindauer, et al			
	6,319,290	11/20/01	Kalweit, et al			

FOREIGN PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Country	Class	Sub Class	Translate (select one) Yes No	
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>

OTHER REFERENCES (include author, title, date, pertinent pages, etc.)

Examiner:

Date Considered

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Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket No.	Serial No.
	IFF-26	TBD
	Applicant	
	VIRGIL A.G. WILLIAMS, et al	
	Filing Date	Group Art Unit
	TBD	N/A

U.S. PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	2001/0013195	8/16/01	Tao			
	2001/0029696	10/18/01	DeStefano II, et al			
	2001/0031280	10/18/01	Ferrari, et al			
	2001,0031438	10/18/01	Hannington, P., et al			

FOREIGN PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Country	Class	Sub Class	Translate (select one) Yes No	
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						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>

OTHER REFERENCES (include author, title, date, pertinent pages, etc.)

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